

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Dieter URBAN, et al.

SERIAL NO: 10/585,877

GAU: 1714

FILED: July 11, 2006

EXAMINER:

POLYMER DISPERSIONS OR SOLUTIONS COMPRISING 3,4 DIHYDROXYPHENYL GROUPS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449, some of which are cited in the attached International Search Report, International Preliminary Report on Patentability and Written Opinion. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

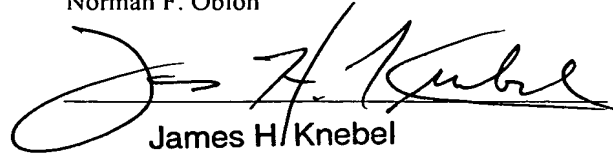
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

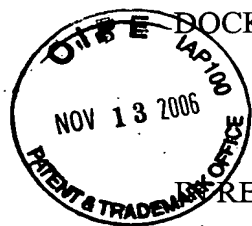
  
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DOCKET NO.: 293249US0PCT



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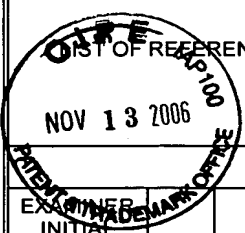
EXAMINER:

FOR: POLYMER DISPERSIONS OR SOLUTIONS COMPRISING 3,4  
DIHYDROXYPHENYL GROUPS

**STATEMENT OF RELEVANCY**

**References AO, AP, and AQ on Form PTO-1449:**

These references are incorporated in the specification.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>293249US0PCT</b>		SERIAL NO. <b>10/585,877</b>	
<div style="text-align: center;">  </div>				APPLICANT <b>Dieter URBAN, et al.</b>			
				FILING DATE <b>July 11, 2006</b>		GROUP <b>1714</b>	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	4,250,070	02/10/1981	Gregor LEY, et al. (corr. to EP 0 003 516 A1)			
	AB	5,623,014	04/22/1997	Gerhard BAUER, et al. (corr. to WO 93/25588)			
	AC	5,278,225	01/11/1994	Klaus KOHLHAMMER, et al. (corr. to EP 0 516 074 A1)			
	AD	5,326,826	07/05/1994	Horst ROESCHERT, et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO		
	AO	0 003 516 A1	08/22/1979	Europe			X
	AP	WO 93/25588	12/23/1993	WIPO (with English abstract)			X
	AQ	0 516 074 A1	12/02/1992	Europe			X
	AR	10-29292	02/03/1998	Japan (with English abstract)			X
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Katsataro AOSHIMA, "Negative-working lithographic printing plate with improved printing durability", DATABASE HCAPLUS [ONLINE], XP002324986, AN-1998:76151, February 9, 1998, Pages 1 through 2.					
	AX	DATABASE REGISTRY [ONLINE], XP002324987, RN: 203179-84-4, March 26, 1998, Page 1 of 1.					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							